

# Non-negative Matrix Factorization for Multimodal Image Retrieval

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Graduate Seminar, Spring 2010  
CECS Department , The University of Louisville

## 1 The Problem

- Content-based image retrieval
- Semantic image retrieval
- Multimodal image retrieval

## 2 Non-Negative Matrix Factorization

- The Netflix Prize
- Non-negative matrix factorization
- NMF vs SVD

## 3 NMF for Multimodal Retrieval

- Semantic space
- Multimodal clustering
- Image annotation
- Multimodal retrieval

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# Content-Based Image Retrieval

Google images  Search images [Advanced Image Search](#) [Preferences](#)  
SafeSearch: **Moderate** [All colors](#)

Images Show: [Any size](#) [Any type](#) [All colors](#) Results 1 - 18 of about 215,000,000 (0.16 seconds)

## Medical Imaging

[www.MedWOW.com](http://www.MedWOW.com) Medical-Equipment The Leading Global Marketplace for Used **Medical Equipment** & Parts

## Create Medical Images

[www.SmartDraw.com](http://www.SmartDraw.com) Easy **Medical Image** Software See Examples. Free Download!

## Stock Photo Images Free

[Dreamstime.com](http://Dreamstime.com) Free and HD **Images** - Over 5 Million At The Premiere Stock Photo Network

[Sponsored Links](#)



**Medical Symbol Silver**  
1050 x 750 - 99k - jpg  
[www.doh.state.il.us](http://www.doh.state.il.us)



... **Graphic Unit Medical Software**, ...  
625 x 458 - 80k - gif  
[www.sharewareconnection.com](http://www.sharewareconnection.com)



**Medical Unit**  
426 x 282 - 6k - jpg  
[www.vvstatepolice.com](http://www.vvstatepolice.com)



**Medical Imagery**  
600 x 489 - 41k - jpg  
[dragon.larc.nasa.gov](http://dragon.larc.nasa.gov)



**Medical Icons for Vista - Medical ...**  
440 x 340 - 64k - jpg  
[www.sharewareconnection.com](http://www.sharewareconnection.com)



**medical.jpg**  
300 x 320 - 16k - jpg  
[www.theimprovegroup.com](http://www.theimprovegroup.com)



... Hills Software **Medical Platform**  
400 x 262 - 31k - jpg  
[www.ghs.com](http://www.ghs.com)



**Liquid Cooling for Medical Equipment**, ...  
300 x 300 - 17k - jpg  
[www.lytron.com](http://www.lytron.com)



**Chart of the medical cycle**,  
400 x 300 - 40k - jpg  
[ocw.mit.edu](http://ocw.mit.edu)



**Medical Calendar 2.1**  
800 x 647 - 264k - jpg  
[www.softforall.com](http://www.softforall.com)



... **Medical Inspection**  
600 x 853 - 109k - jpg  
[www.ibiblio.org](http://www.ibiblio.org)



... **quality medical treatments**,  
337 x 466 - 84k - jpg  
[www.medico-services.com](http://www.medico-services.com)

# Query by Visual Example



Similar Images

Results 1 - 21 of 448 (0.06 seconds)

## Example Image



Showing only similar images - [Back to results for medical Images](#)



600 x 489 - 40k - jpg  
dragon.larc.nasa.gov



469 x 492 - 17k - jpg  
cdneverest2008.com  
[Similar images](#)



531 x 376 - 100k - gif  
www.emslife.com  
[Similar images](#)



147 x 166 - 2k - jpg  
www.hippocrates.ca  
[Similar images](#)



216 x 192 - 3k - jpg  
images.encarta.msn.com  
[Similar images](#)



336 x 442 - 41k - jpg  
research.yale.edu  
[Similar images](#)



268 x 326 - 10k - jpg  
emath.pu.edu.tw  
[Similar images](#)



813 x 1101 - 394k - jpg  
stresszdoktor.hu



411 x 575 - 56k - jpg  
www.gardenofpraise.com



150 x 179 - 19k - gif  
pagesperso-orange.fr



360 x 270 - 40k - jpg  
files.wordpress.com



538 x 800 - 69k - jpg  
www.nrc.gov

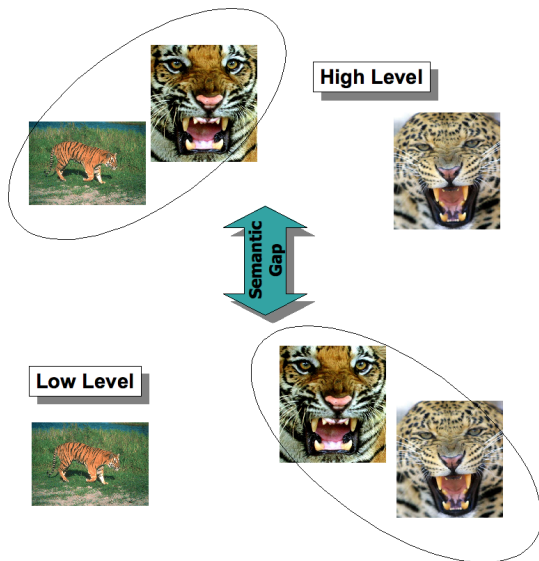


462 x 346 - 34k - jpg  
enciclopedia.com.pt



400 x 363 - 33k - jpg  
www.lib.cam.ac.uk

# Low-level vs. High-level



## 1 The Problem

- Content-based image retrieval
- **Semantic image retrieval**
- Multimodal image retrieval

## 2 Non-Negative Matrix Factorization

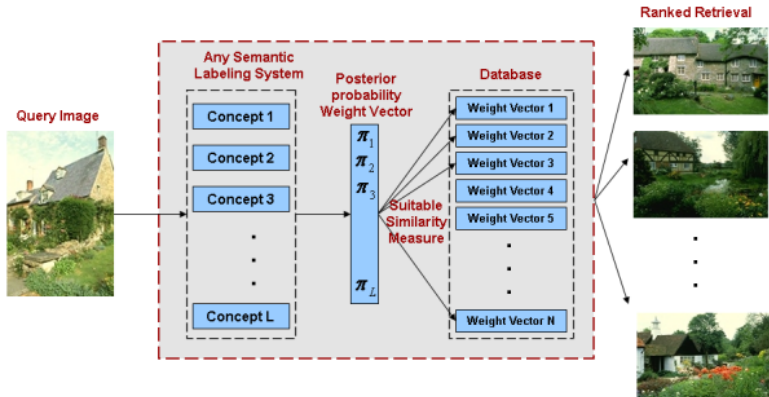
- The Netflix Prize
- Non-negative matrix factorization
- NMF vs SVD

## 3 NMF for Multimodal Retrieval

- Semantic space
- Multimodal clustering
- Image annotation
- Multimodal retrieval

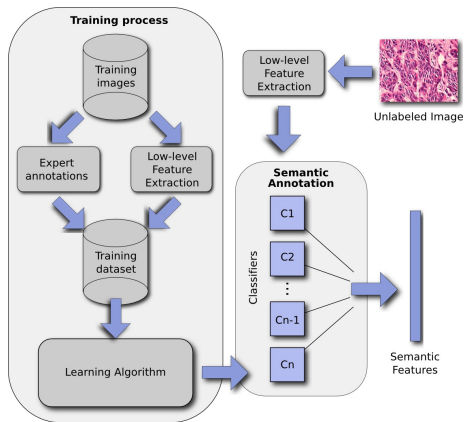


# Semantic Annotation using ML

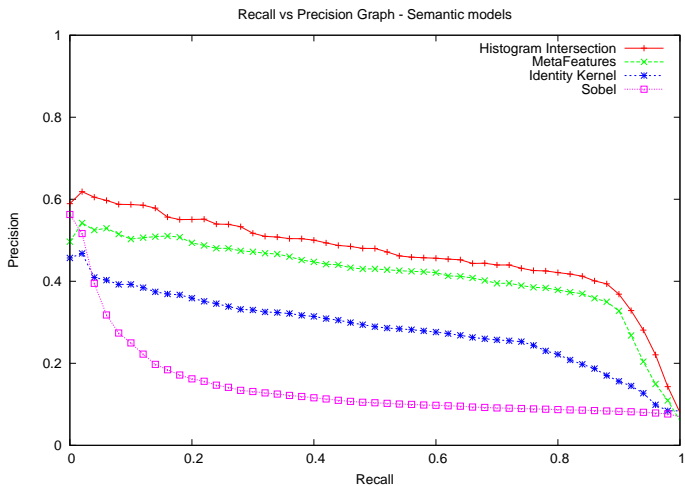


Source: Nuno Vasconcelos, UCSD, <http://www.svcl.ucsd.edu/projects/qbse/>

# An Example (1)



# An Example (2)



- Requires a training set with expert annotations, so it is a costly process

# Disadvantages

- Requires a training set with expert annotations, so it is a costly process
- Does not scale for large semantic vocabularies

- Requires a training set with expert annotations, so it is a costly process
- Does not scale for large semantic vocabularies
- The mapping from visual features to annotations may lose the visual richness

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# Multimodality



Text and images come naturally together in many documents

- Academic papers, books
- Newspapers, web pages
- Medical cases



# Multimodal Retrieval

- Unstructured text associated to images may be used as semantic annotations
  - Images and texts are complimentary information units
  - Take advantage of interactions between both data modalities

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- Problems:
  - Text associated to images is not structured
  - Unclear relationships between keywords and visual patterns
  - Possible presence of noise in both data modalities

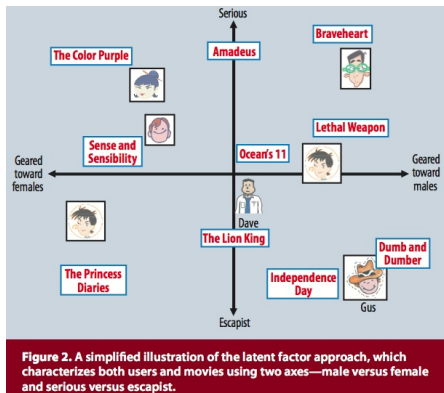
- Unstructured text associated to images may be used as semantic annotations
  - Images and texts are complimentary information units
  - Take advantage of interactions between both data modalities
- Problems:
  - Text associated to images is not structured
  - Unclear relationships between keywords and visual patterns
  - Possible presence of noise in both data modalities
- Retrieval scenarios:
  - Cross-modal:
    - find images based on a text query
    - find text based on an image query (image annotation)
  - Visual retrieval based on a visual query

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# The Netflix Competition

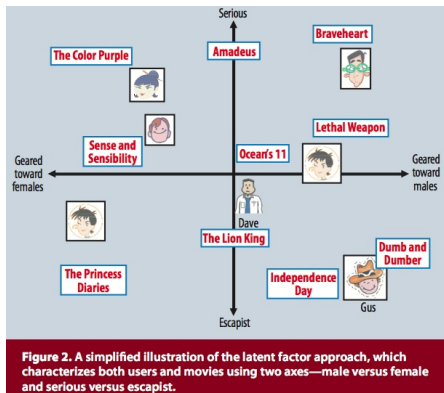
- Problem: prediction of user ratings for films (collaborative filtering)



Y. Koren, R. Bell, and C. Volinsky, "Matrix factorization techniques for recommender systems," *Computer*, vol. 42, no. 8, pp. 30-37, August 2009

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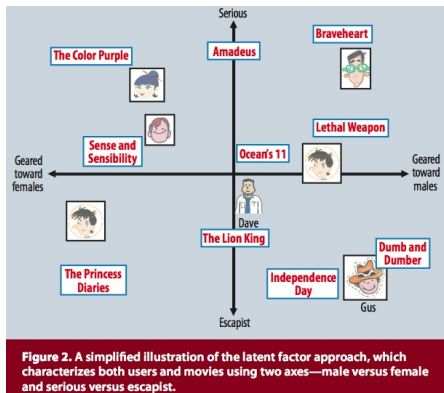
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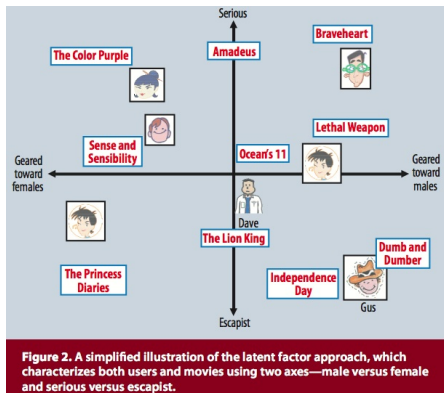


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# The Netflix Competition

- Problem: prediction of user ratings for films (collaborative filtering)
- Prize: \$1 Million to the first algorithm able to improve Netflix own algorithm results by at least 10%
- Won on Sept/21/2009 by BellKor's Pragmatic Chaos
- Their approach used Non-negative Matrix Factorization (NMF) to build a latent-factor representation of users and movies



Y. Koren, R. Bell, and C. Volinsky, "Matrix factorization techniques for recommender systems," *Computer*, vol. 42, no. 8, pp. 30-37, August 2009

# The Latent-Factor Model

$$\begin{array}{c} \text{users} \\ \begin{array}{|c|} \hline \text{movies} \\ \hline \end{array} \\ \begin{array}{|c|} \hline r_{11} \quad \dots \quad r_{1m} \\ \hline \vdots \quad \ddots \quad \vdots \\ \hline r_{n1} \quad \dots \quad r_{nm} \\ \hline \end{array} \end{array} = \begin{array}{c} \text{users} \\ \begin{array}{|c|} \hline \text{factors} \\ \hline \end{array} \\ \begin{array}{|c|} \hline q_{11} \quad \dots \quad q_{1f} \\ \hline \vdots \quad \ddots \quad \vdots \\ \hline q_{n1} \quad \dots \quad q_{nf} \\ \hline \end{array} \end{array} \times \begin{array}{c} \text{factors} \\ \begin{array}{|c|} \hline \text{movies} \\ \hline \end{array} \\ \begin{array}{|c|} \hline p_{11} \quad \dots \quad p_{1m} \\ \hline \vdots \quad \ddots \quad \vdots \\ \hline p_{f1} \quad \dots \quad p_{fm} \\ \hline \end{array} \end{array}$$

$$R \approx QP$$

$$Q, P \geq 0$$

$$n \approx 5 \times 10^5$$

$$m \approx 1.7 \times 10^4$$

$$|\{(i, j) | r_{ij} \neq 0\}| \approx 10^8$$

$$f \leq 200$$

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# Non-negative Matrix Factorization

- Problem: to find a factorization

$$X_{n \times m} = W_{n \times r} H_{r \times m}$$

- Optimization problem:

$$\begin{aligned} \min_{A,B} \quad & \|X - WH\|^2 \\ \text{s.t.} \quad & W, H \geq 0 \end{aligned}$$

- $\|\cdot\|$  is the Frobenius norm
- It is a non-convex optimization problem
- Solution alternatives:
  - Gradient descent methods
  - Multiplicative updating rules

- Optimization problem:

$$\begin{aligned} \min_{A,B} \quad & \|X - WH\|^2 \\ \text{s.t.} \quad & W, H \geq 0 \end{aligned}$$

- Incremental optimization:

$$H_{a\mu} \leftarrow H_{a\mu} \frac{(W^T X)_{a\mu}}{(W^T WH)_{a\mu}}$$

$$W_{ia} \leftarrow W_{ia} \frac{(XH^T)_{\alpha\mu}}{(WHH^T)_{\alpha\mu}}$$

- Optimization problem:

$$\begin{aligned} \min_{A,B} \quad & D(X|WH) = \sum_{ij} \left( X_{ij} \log \frac{X_{ij}}{(WH)_{ij}} - X_{ij} + (WH)_{ij} \right) \\ \text{s.t.} \quad & W, H \geq 0 \end{aligned}$$

- Multiplicative Rules:

$$H_{a\mu} \leftarrow H_{a\mu} \frac{\sum_i W_{ia} X_{i\mu} / (WH)_{i\mu}}{\sum_i W_{ia}}$$

$$W_{ia} \leftarrow W_{ia} \frac{\sum_{\mu} H_{a\mu} X_{i\mu} / (WH)_{i\mu}}{\sum_{\mu} H_{a\mu}}$$

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- Problem:

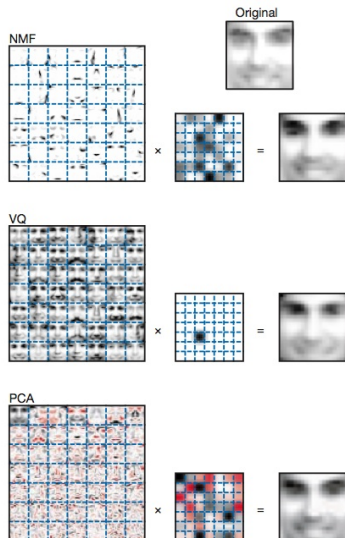
$$X_{n \times m} = W_{n \times r} H_{r \times m}$$

- Principal Component Analysis (PCA)

$$X = U \Sigma V$$

$$W = U \Sigma^{\frac{1}{2}}, H = \Sigma^{\frac{1}{2}} V$$

- PCA = SVD keeping the 'best' Eigenvectors
- Columns of U are orthonormal
- There is not restriction on sign.



D. D. Lee and H. S. Seung, "Learning the parts of objects by non-negative matrix factorization," Nature, vol. 401, no. 6755, pp. 788-791, October 1999

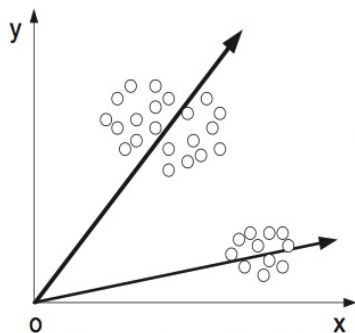


# Latent Semantic Indexing (LSI)

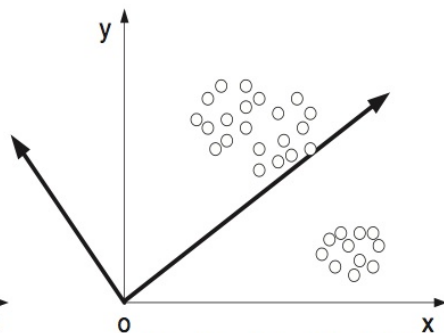
$$\begin{array}{c} \text{documents} \\ \begin{array}{|c|} \hline X_{11} \quad \dots \quad X_{1m} \\ \hline \vdots \quad \ddots \quad \vdots \\ \hline X_{n1} \quad \dots \quad X_{nm} \\ \hline \end{array} \\ \text{terms} \\ X \end{array} = \begin{array}{c} \text{factors} \\ \begin{array}{|c|} \hline w_{11} \quad \dots \quad w_{1r} \\ \hline \vdots \quad \ddots \quad \vdots \\ \hline w_{n1} \quad \dots \quad w_{nr} \\ \hline \end{array} \\ \text{terms} \\ W \end{array} \times \begin{array}{c} \text{documents} \\ \begin{array}{|c|} \hline h_{11} \quad \dots \quad h_{1m} \\ \hline \vdots \quad \ddots \quad \vdots \\ \hline h_{r1} \quad \dots \quad h_{rm} \\ \hline \end{array} \\ \text{factors} \\ H \end{array}$$

- Documents are represented by the frequency of keywords (terms)
- Uses SVD to find the factorization
- Factors = semantic concepts
- Columns of  $W$  are orthonormal

# NMF vs LSI



Directions found by NMF



Directions found by LSI

W. Xu, X. Liu, and Y. Gong, "Document clustering based on non-negative matrix factorization," in SIGIR '03: Proceedings of the 26th annual international ACM SIGIR conference on Research and development in information retrieval. New York, NY, USA: ACM, 2003, pp. 267-273

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# Semantic Space: Multimodal Latent Indexing (I)

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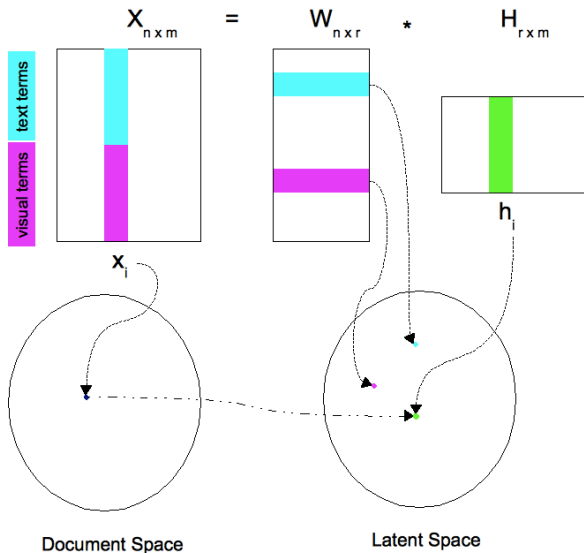
- Objects are described by terms in a textual vocabulary and a visual vocabulary
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- NMF is used to build the latent representation

# Semantic Space: Multimodal Latent Indexing (I)

- Objects are described by terms in a textual vocabulary and a visual vocabulary
- Objects are mapped to a latent (semantic) space where objects are represented by a set of latent factors
- NMF is used to build the latent representation
- Three main tasks:
  - Multimodal clustering
  - Automatic image annotation
  - Image retrieval

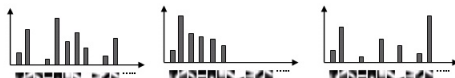
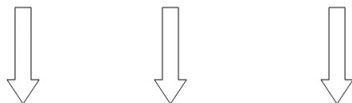
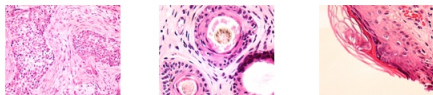


# Semantic Space: Multimodal Latent Indexing (II)



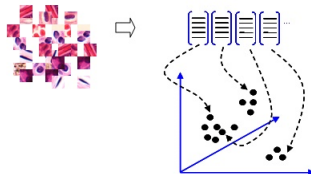
# Bag-of-Features Image Representation

Histopathological images

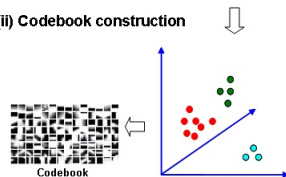


(iii) Bag of features representation

(i) Feature detection and description

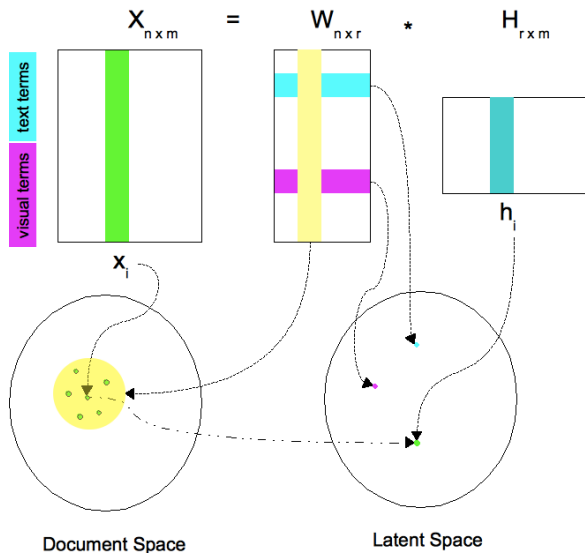


(ii) Codebook construction

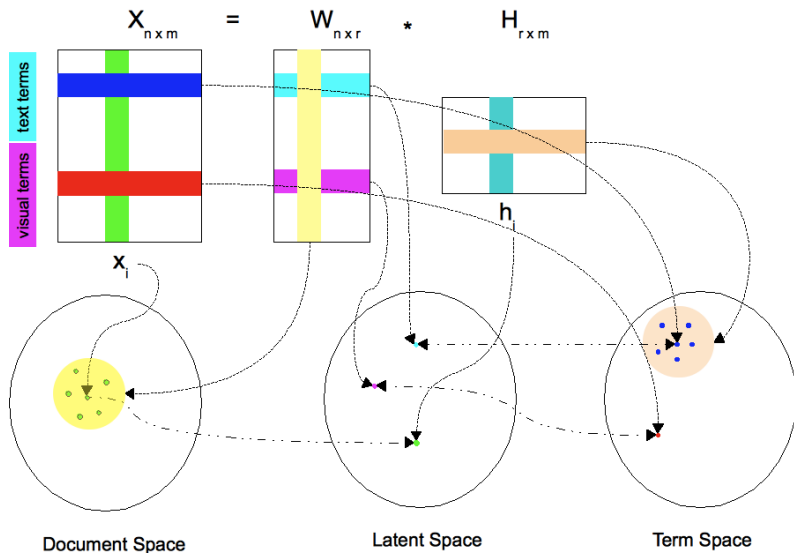


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# Multimodal Clustering



# Dual Multimodal Clustering



# Does It Work?

- Clustering Corel data set: 1000 images, 25 categories
- Input matrix: 2500 vectors of dimension 1000
- Clustering performance comparison:  $K$ -means and two NMF variants:

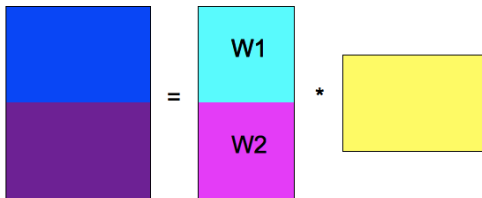
	$K$ -means	NMF (Frobenius norm)	NMF (KL divergence)
Accuracy	0.2288	0.2768	0.2905

\*results are average of 10 runs

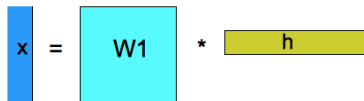
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# Image Annotation

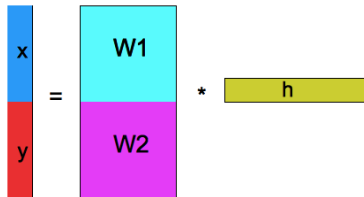
1. Apply NMF to training data



2. Find latent representation  $h$  of a visual vector  $x$ ,  $x = W1 * h$



3. Multiply  $h$  by  $W$  to get the multimodal vector  $[x,y]$





- pLSA has shown good performance for image annotation

TABLE 3  
mAP Values (in Percent) for the Six Methods  
When Combinations of HS and SIFT Features Are Used

	Blobs	HS	SIFT	HS+SIFT
propagation	7.8 (0.7)	9.0 (0.2)	9.4 (1.0)	13.1 (0.5)
CMRM [15]	11.5 (1.1)	10.7 (1.1)	7.9 (0.5)	13.4 (1.0)
SVD-COS [26]	12.9 (1.1)	12.9 (0.8)	10.7 (0.7)	16.6 (1.1)
PLSA-MIXED	5.8 (0.8)	10.2 (0.8)	7.5 (0.6)	11.9 (1.3)
PLSA-FEATURES	8.2 (0.7)	11.2 (1.0)	10.1 (0.8)	14.0 (1.3)
PLSA-WORDS	11.0 (0.9)	13.3 (1.0)	11.8 (1.1)	19.1 (1.2)

- NMF with KL divergence was shown to be equivalent to pLSA

W. Xu, X. Liu, and Y. Gong, "Document clustering based on non-negative matrix factorization," in SIGIR '03: Proceedings of the 26th annual international ACM SIGIR conference on Research and development in information retrieval. New York, NY, USA: ACM, 2003, pp. 267-273

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- Scenario: visual retrieval based on a visual query

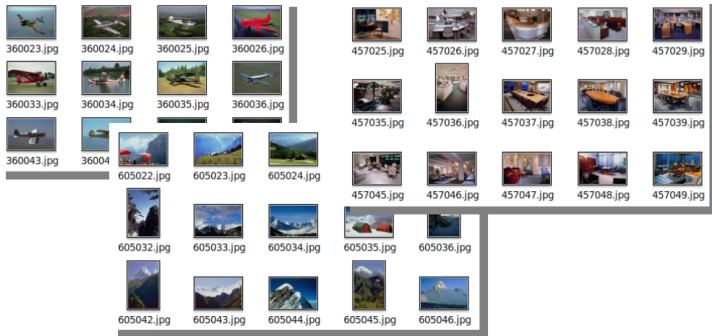
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- Images are represented in a latent space using NMF
- Some images in the database may not have text content associated
- The image query is projected the latent space
- Image are retrieved according to their latent space similarity

# Experimental Evaluation

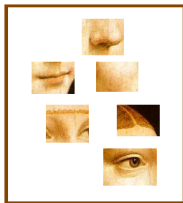


## Corel Images

- A subset of 2,500 images extracted from the Corel Database.
- 25 categories with 100 images each.



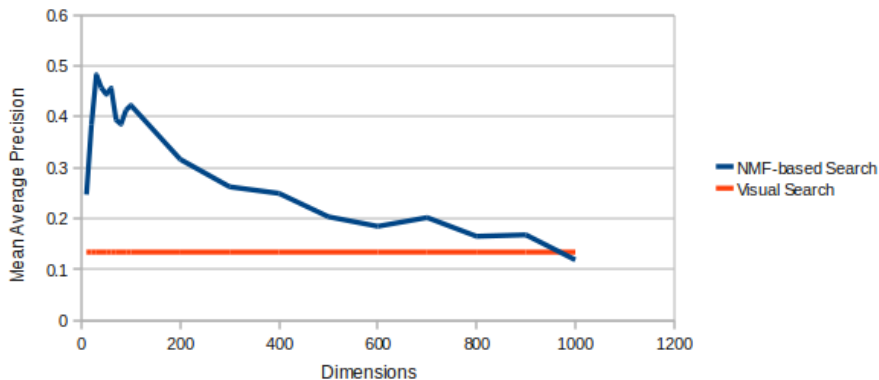
# Bag of Features



## Corel Images

- Image content representation using parts of images
- Blocks are extracted from each image and the SIFT descriptor is computed
- A dictionary of visual patterns is built using k-means
- A histogram counting the occurrence of each codeblock is constructed for each image

## Search Performance

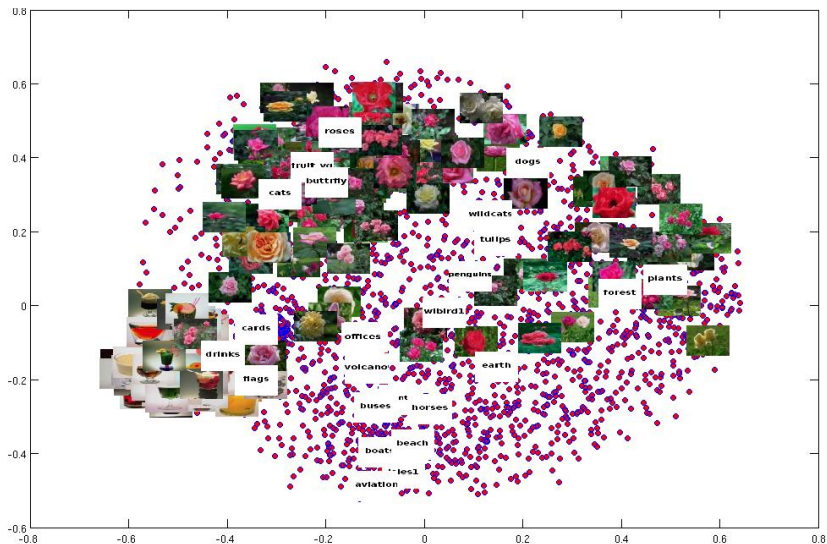


**This approach outperforms direct image matching by almost 4X**

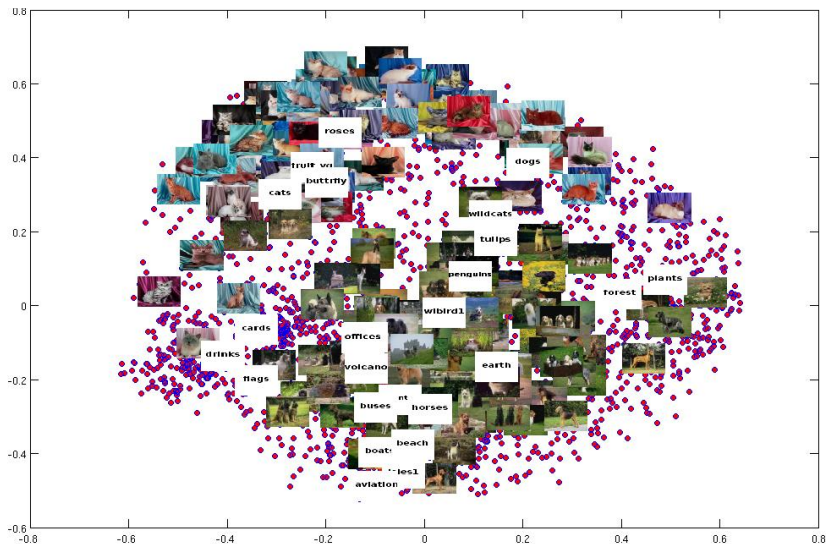
## Some specific performance measures

Measure	NMF Search P1	Direct Search
P1	0.4400	0.5280
P20	0.4508	0.3820
P50	0.4474	0.3160
R10	0.0471	0.0446
R20	0.0949	0.0804
R50	0.2355	0.1663
MAP	0.4825	0.1342

# Semantic Space Visualization (I)



# Semantic Space Visualization (II)



- Bigger data sets: ImageClefMed, Corel5000, Flickr
- Different image low-level features
- Kernel NMF
- Incremental NMF

# Thanks!

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