

# Non-negative Matrix Factorization for Multimodal Image Retrieval

Fabio A. González PhD

Machine Learning 2015-II  
Universidad Nacional de Colombia

## 1 The Problem

- Content-based image retrieval
- Semantic image retrieval
- Multimodal image retrieval

## 2 Matrix Factorization

- The Netflix Prize
- Non-negative matrix factorization
- NMF vs SVD

## 3 NMF for Multimodal Learning

- Semantic space
- Multimodal clustering
- Image annotation
- Multimodal retrieval
- Application to histology images

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# Content-Based Image Retrieval

Google images  Search images [Advanced Image Search Preferences](#)  
SafeSearch: **Moderate** ▾

Images Show: **Any size** ▾ **Any type** ▾ **All colors** ▾ Results 1 - 18 of about 215,000,000 (0.16 seconds)

## Medical Imaging

[www.MedWOW.com/Medical-Equipment](http://www.MedWOW.com/Medical-Equipment) The Leading Global Marketplace for Used **Medical Equipment** & **Parts**

## Create Medical Images

[www.SmartDraw.com](http://www.SmartDraw.com) Easy **Medical Image** Software See Examples. Free Download!

## Stock Photo Images Free

[Dreamstime.com](http://Dreamstime.com) Free and HD **Images** - Over 5 Million At The Premiere Stock Photo Network

Sponsored Links



**Medical Symbol Silver**  
1050 x 750 - 99k - jpg  
[www.doh.state.fl.us](http://www.doh.state.fl.us)



... **Graphic Unit Medical** Software  
625 x 458 - 80k - gif  
[www.sharewareconnection.com](http://www.sharewareconnection.com)



**Medical Unit**  
426 x 282 - 6k - jpg  
[www.vststatepolice.com](http://www.vststatepolice.com)



**Medical imagery**  
600 x 489 - 41k - jpg  
[dragon.larc.nasa.gov](http://dragon.larc.nasa.gov)



**Medical icons for Vista - Medical ...**  
440 x 340 - 64k - jpg  
[www.sharewareconnection.com](http://www.sharewareconnection.com)



**medical.jpg**  
300 x 320 - 16k - jpg  
[www.theimprovetgroup.com](http://www.theimprovetgroup.com)



... Hills Software **Medical Platform**  
400 x 282 - 31k - jpg  
[www.ghs.com](http://www.ghs.com)



**Liquid Cooling for Medical Equipment ...**  
300 x 300 - 17k - jpg  
[www.lytron.com](http://www.lytron.com)



**Chart of the medical cycle.**  
400 x 300 - 40k - jpg  
[ocw.mit.edu](http://ocw.mit.edu)



**Medical Calendar 2.1**  
800 x 647 - 264k - jpg  
[www.softforal.com](http://www.softforal.com)



... **Medical Inspection**  
600 x 853 - 109k - jpg  
[www.ibiblio.org](http://www.ibiblio.org)



... **quality medical treatments.**  
337 x 466 - 84k - jpg  
[www.medico-services.com](http://www.medico-services.com)

# Query by Visual Example



Similar Images

Results 1 - 21 of 448 (0.06 seconds)

## Example Image



Showing only similar images - [Back to results for medical images](#)



600 x 489 - 40k - jpg  
dragon.larc.nasa.gov



469 x 492 - 17k - jpg  
cdneverest2008.com  
[Similar images](#)



531 x 376 - 100k - gif  
www.emslife.com  
[Similar images](#)



147 x 166 - 2k - jpg  
www.hippocrates.ca  
[Similar images](#)



216 x 192 - 3k - jpg  
images.encarta.msn.com  
[Similar images](#)



336 x 442 - 41k - jpg  
research.yale.edu  
[Similar images](#)



268 x 326 - 10k - jpg  
emath.pu.edu.tw  
[Similar images](#)



813 x 1101 - 394k - jpg  
stresszdoktor.hu



411 x 575 - 56k - jpg  
www.gardenofpraise.com



150 x 179 - 19k - gif  
pagesperso-orange.fr



360 x 270 - 40k - jpg  
files.wordpress.com



538 x 800 - 69k - jpg  
www.nrc.gov

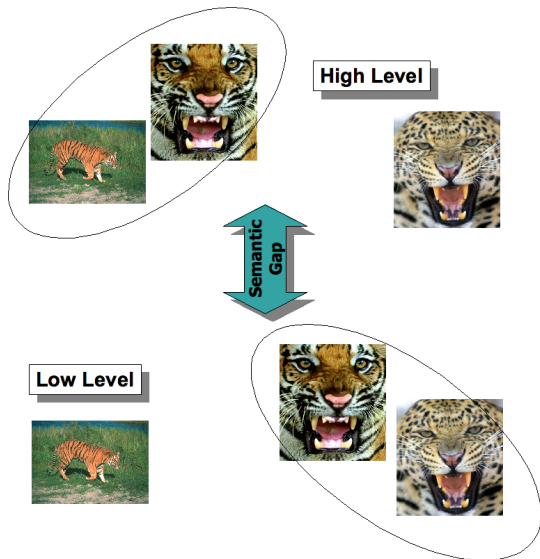


462 x 346 - 34k - jpg  
enciclopedia.com.pt



400 x 363 - 33k - jpg  
www.lib.cam.ac.uk

# Low-level vs. High-level



## 1 The Problem

- Content-based image retrieval
- **Semantic image retrieval**
- Multimodal image retrieval

## 2 Matrix Factorization

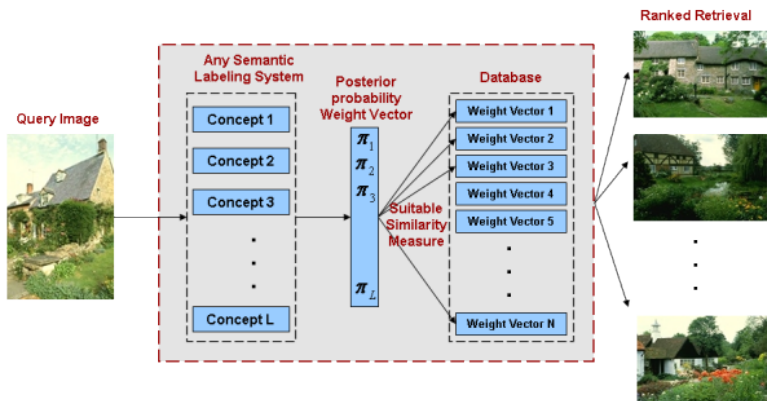
- The Netflix Prize
- Non-negative matrix factorization
- NMF vs SVD

## 3 NMF for Multimodal Learning

- Semantic space
- Multimodal clustering
- Image annotation
- Multimodal retrieval
- Application to histology images

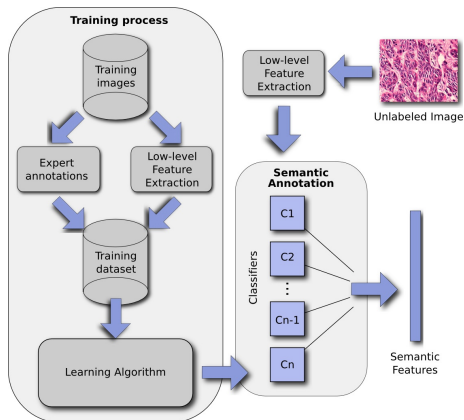
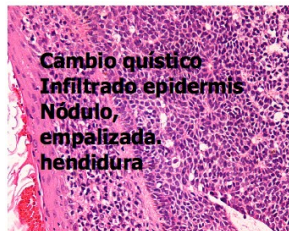


# Semantic Annotation using ML

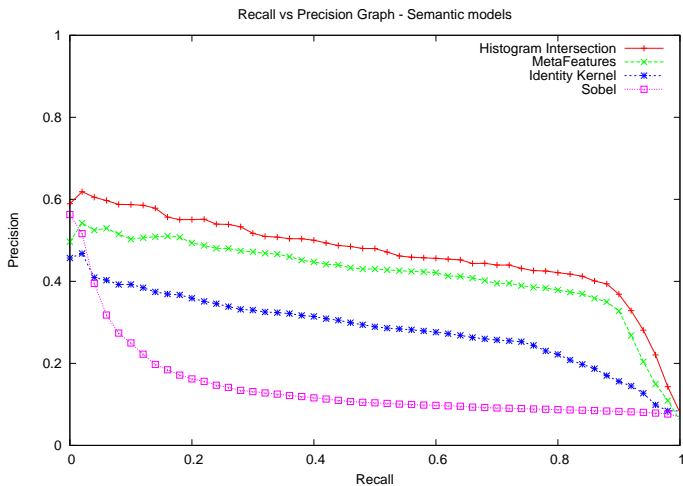


Source: Nuno Vasconcelos, UCSD, <http://www.svcl.ucsd.edu/projects/qbse/>

# An Example (1)



# An Example (2)



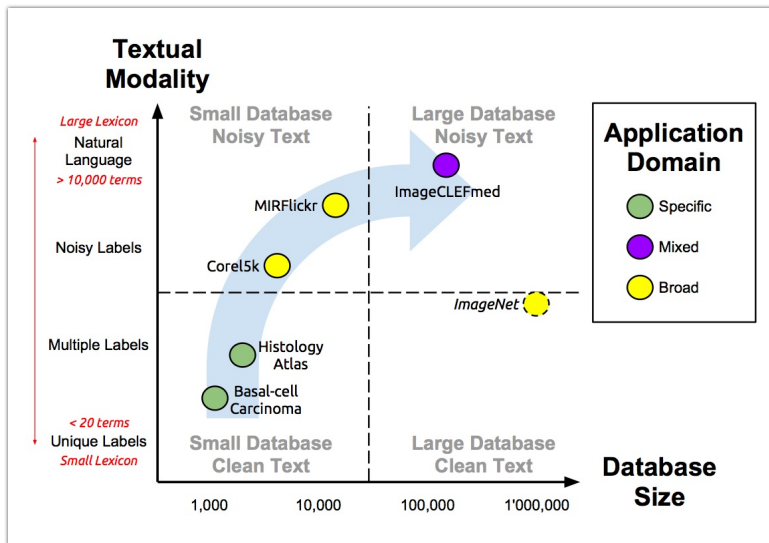
# Disadvantages

- Requires a training set with expert annotations, so it is a costly process

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- Does not scale for large semantic vocabularies
- The mapping from visual features to annotations may lose the visual richness



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Text and images come naturally together in many documents

- Academic papers, books
- Newspapers, web pages
- Medical cases

- Unstructured text associated to images may be used as semantic annotations
  - Images and texts are complimentary information units
  - Take advantage of interactions between both data modalities

# Multimodal Retrieval

- Unstructured text associated to images may be used as semantic annotations
  - Images and texts are complimentary information units
  - Take advantage of interactions between both data modalities
- Problems:
  - Text associated to images is not structured
  - Unclear relationships between keywords and visual patterns
  - Possible presence of noise in both data modalities

- Unstructured text associated to images may be used as semantic annotations
  - Images and texts are complimentary information units
  - Take advantage of interactions between both data modalities
- Problems:
  - Text associated to images is not structured
  - Unclear relationships between keywords and visual patterns
  - Possible presence of noise in both data modalities
- Retrieval scenarios:
  - Cross-modal:
    - find images based on a text query
    - find text based on an image query (image annotation)
  - Visual retrieval based on a visual query

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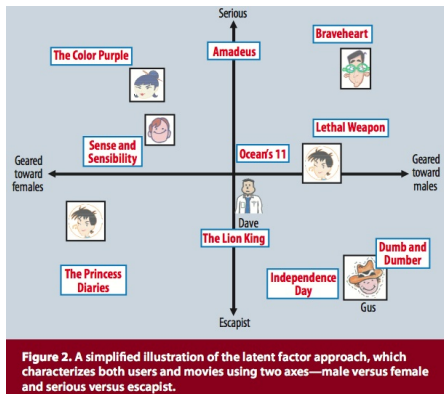
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# The Netflix Competition

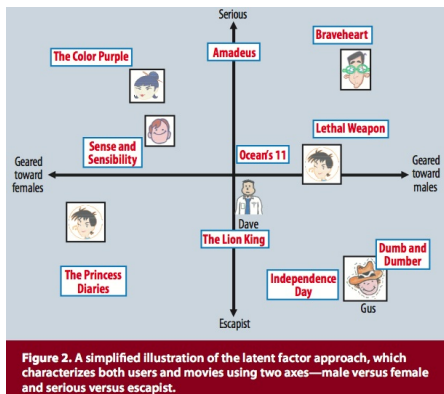
- Problem: prediction of user ratings for films (collaborative filtering)



Y. Koren, R. Bell, and C. Volinsky, "Matrix factorization techniques for recommender systems," *Computer*, vol. 42, no. 8, pp. 30-37, August 2009

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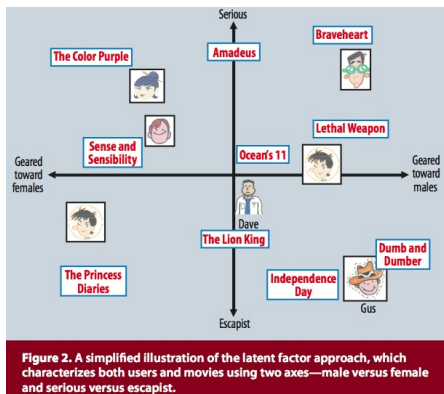


Y. Koren, R. Bell, and C. Volinsky, "Matrix factorization techniques for recommender systems," *Computer*, vol. 42, no. 8, pp. 30-37, August 2009



# The Netflix Competition

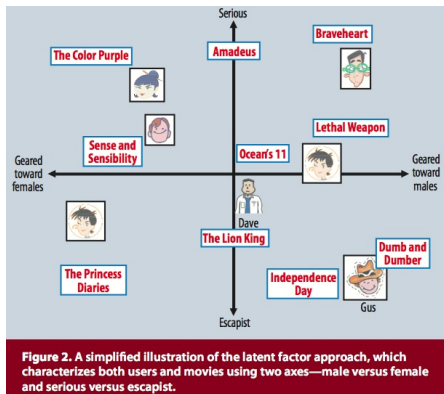
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- Won on Sept/21/2009 by BellKor's Pragmatic Chaos



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# The Netflix Competition

- Problem: prediction of user ratings for films (collaborative filtering)
- Prize: \$1 Million to the first algorithm able to improve Netflix own algorithm results by at least 10%
- Won on Sept/21/2009 by BellKor's Pragmatic Chaos
- Their approach used Matrix Factorization to build a latent-factor representation of users and movies



Y. Koren, R. Bell, and C. Volinsky, "Matrix factorization techniques for recommender systems," Computer, vol. 42, no. 8, pp. 30-37, August 2009

# The Latent-Factor Model

$$\begin{array}{c} \text{users} \\ \begin{array}{|c|} \hline r_{11} \quad \dots \quad r_{1m} \\ \hline \vdots \quad \ddots \quad \vdots \\ \hline r_{n1} \quad \dots \quad r_{nm} \\ \hline \end{array} \end{array} = \begin{array}{c} \text{users} \\ \begin{array}{|c|} \hline q_{11} \quad \dots \quad q_{1f} \\ \hline \vdots \quad \ddots \quad \vdots \\ \hline q_{n1} \quad \dots \quad q_{nf} \\ \hline \end{array} \end{array} \times \begin{array}{c} \text{factors} \\ \begin{array}{|c|} \hline p_{11} \quad \dots \quad p_{1m} \\ \hline \vdots \quad \ddots \quad \vdots \\ \hline p_{f1} \quad \dots \quad p_{fm} \\ \hline \end{array} \end{array}$$

$$R \approx QP$$

$$Q, P \geq 0$$

$$n \approx 5 \times 10^5$$

$$m \approx 1.7 \times 10^4$$

$$|\{(i, j) | r_{ij} \neq 0\}| \approx 10^8$$

$$f \leq 200$$

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# Non-negative Matrix Factorization

- Problem: to find a factorization

$$X_{n \times m} = W_{n \times r} H_{r \times m}$$

- Optimization problem:

$$\begin{aligned} \min_{A,B} \quad & \|X - WH\|^2 \\ \text{s.t.} \quad & W, H \geq 0 \end{aligned}$$

- $\|\cdot\|$  is the Frobenius norm
- It is a non-convex optimization problem
- Solution alternatives:
  - Gradient descent methods
  - Multiplicative updating rules

- Optimization problem:

$$\begin{aligned} \min_{W,H} \quad & \|X - WH\|^2 \\ \text{s.t.} \quad & W, H \geq 0 \end{aligned}$$

- Incremental optimization:

$$H_{a\mu} \leftarrow H_{a\mu} \frac{(W^T X)_{a\mu}}{(W^T WH)_{a\mu}}$$

$$W_{ia} \leftarrow W_{ia} \frac{(XH^T)_{\alpha\mu}}{(WHH^T)_{\alpha\mu}}$$

- Optimization problem:

$$\begin{aligned} \min_{W,H} \quad & D(X|WH) = \sum_{ij} \left( X_{ij} \log \frac{X_{ij}}{(WH)_{ij}} - X_{ij} + (WH)_{ij} \right) \\ \text{s.t.} \quad & W, H \geq 0 \end{aligned}$$

- Multiplicative Rules:

$$H_{a\mu} \leftarrow H_{a\mu} \frac{\sum_i W_{ia} X_{i\mu} / (WH)_{i\mu}}{\sum_i W_{ia}}$$

$$W_{ia} \leftarrow W_{ia} \frac{\sum_{\mu} H_{a\mu} X_{i\mu} / (WH)_{i\mu}}{\sum_{\mu} H_{a\mu}}$$

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- Problem:

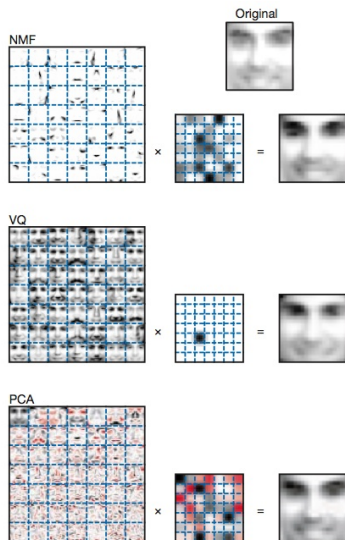
$$X_{n \times m} = W_{n \times r} H_{r \times m}$$

- Principal Component Analysis (PCA)

$$X = U \Sigma V$$

$$W = U \Sigma^{\frac{1}{2}}, H = \Sigma^{\frac{1}{2}} V$$

- PCA = SVD keeping the 'best' Eigenvectors
- Columns of U are orthonormal
- There is not restriction on sign.



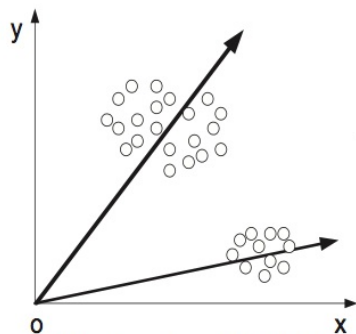
D. D. Lee and H. S. Seung, "Learning the parts of objects by non-negative matrix factorization," Nature, vol. 401, no. 6755, pp. 788-791, October 1999

# Latent Semantic Indexing (LSI)

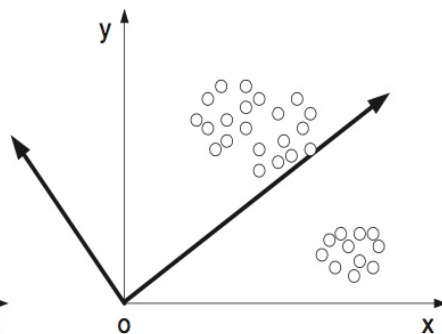
$$\begin{array}{c} \text{documents} \\ \begin{array}{|c|} \hline X_{11} \quad \dots \quad X_{1m} \\ \hline \vdots \quad \ddots \quad \vdots \\ \hline X_{n1} \quad \dots \quad X_{nm} \\ \hline \end{array} \\ \text{terms} \\ X \end{array} = \begin{array}{c} \text{factors} \\ \begin{array}{|c|} \hline w_{11} \quad \dots \quad w_{1r} \\ \hline \vdots \quad \ddots \quad \vdots \\ \hline w_{n1} \quad \dots \quad w_{nr} \\ \hline \end{array} \\ \text{terms} \\ W \end{array} \times \begin{array}{c} \text{documents} \\ \begin{array}{|c|} \hline h_{11} \quad \dots \quad h_{1m} \\ \hline \vdots \quad \ddots \quad \vdots \\ \hline h_{r1} \quad \dots \quad h_{rm} \\ \hline \end{array} \\ \text{factors} \\ H \end{array}$$

- Documents are represented by the frequency of keywords (terms)
- Uses SVD to find the factorization
- Factors = semantic concepts
- Columns of  $W$  are orthonormal

# NMF vs LSI



Directions found by NMF



Directions found by LSI

W. Xu, X. Liu, and Y. Gong, "Document clustering based on non-negative matrix factorization," in SIGIR '03: Proceedings of the 26th annual international ACM SIGIR conference on Research and development in information retrieval. New York, NY, USA: ACM, 2003, pp. 267-273

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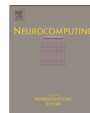
Neurocomputing 76 (2012) 50–60



Contents lists available at SciVerse ScienceDirect

## Neurocomputing

journal homepage: [www.elsevier.com/locate/neucom](http://www.elsevier.com/locate/neucom)



## Multimodal representation, indexing, automated annotation and retrieval of image collections via non-negative matrix factorization

Juan C. Caicedo<sup>a</sup>, Jaafar BenAbdallah<sup>b</sup>, Fabio A. González<sup>a,\*</sup>, Olfa Nasraoui<sup>b</sup>

<sup>a</sup> Computer Systems and Industrial Engineering Department, National University of Colombia, Cra 30 45 - 03, Ciudad Universitaria, Edif. 453, Of. 114, Bogotá, Colombia

<sup>b</sup> Department of Computer Engineering and Computer Science, University of Louisville, Louisville KY, USA

## 1 The Problem

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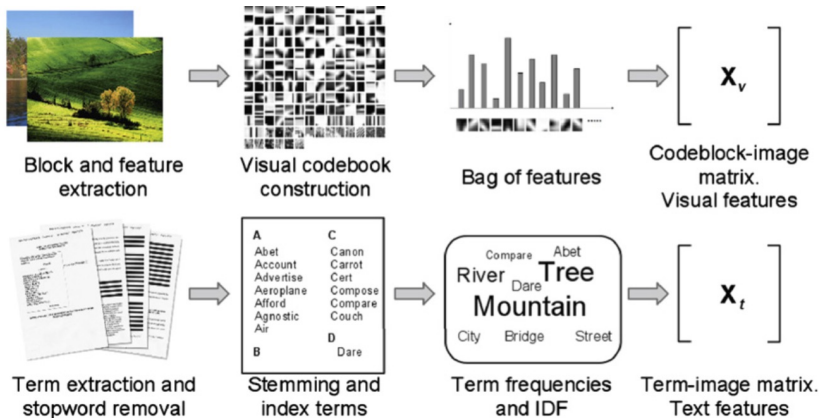
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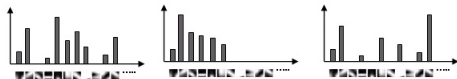
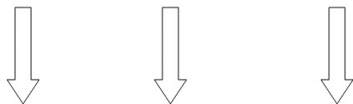
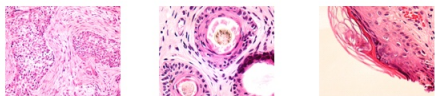
- Semantic space
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# Multimodal Representation



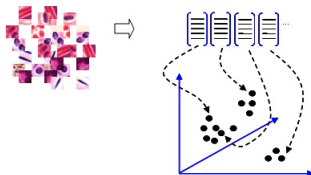
# Bag-of-Features Image Representation

Histopathological images

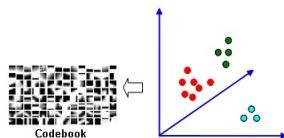


(iii) Bag of features representation

(i) Feature detection and description



(ii) Codebook construction





# Semantic Space: Multimodal Latent Indexing (I)

- Objects are described by terms in a textual vocabulary and a visual vocabulary

# Semantic Space: Multimodal Latent Indexing (I)

- Objects are described by terms in a textual vocabulary and a visual vocabulary
- Objects are mapped to a latent (semantic) space where objects are represented by a set of latent factors

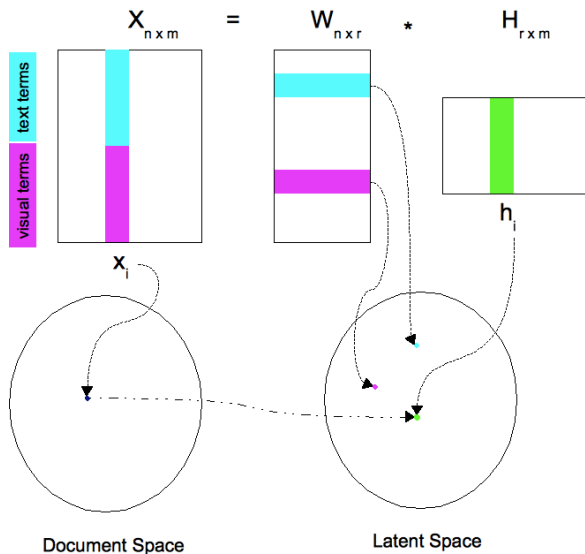
# Semantic Space: Multimodal Latent Indexing (I)

- Objects are described by terms in a textual vocabulary and a visual vocabulary
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- NMF is used to build the latent representation

# Semantic Space: Multimodal Latent Indexing (I)

- Objects are described by terms in a textual vocabulary and a visual vocabulary
- Objects are mapped to a latent (semantic) space where objects are represented by a set of latent factors
- NMF is used to build the latent representation
- Three main tasks:
  - Multimodal clustering
  - Automatic image annotation
  - Image retrieval

# Semantic Space: Multimodal Latent Indexing (II)



## 1 The Problem

- Content-based image retrieval
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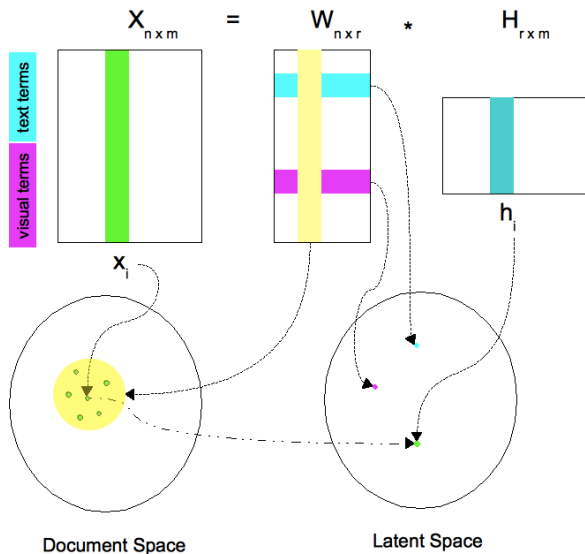
## 2 Matrix Factorization

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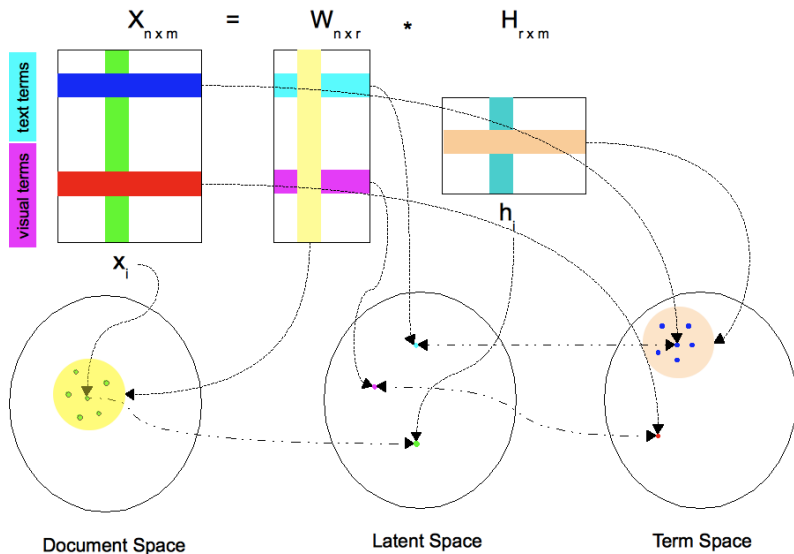
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# Multimodal Clustering

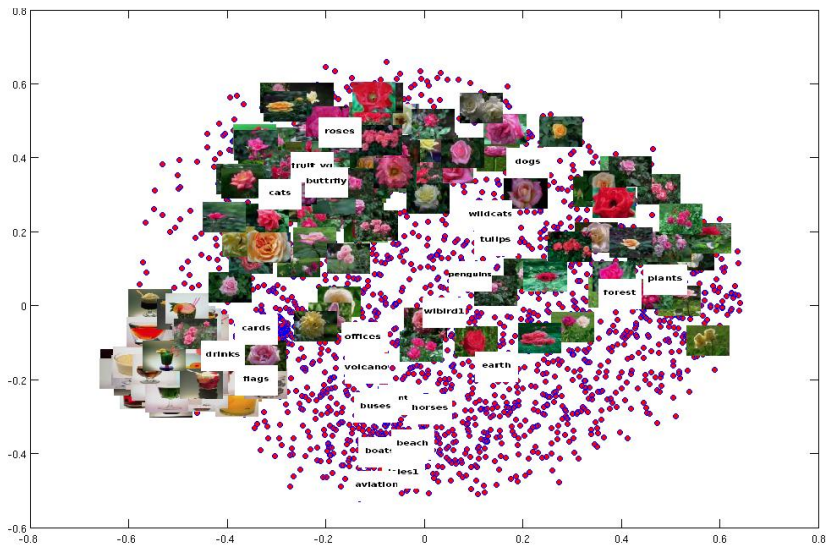


# Dual Multimodal Clustering





# Semantic Space Visualization (I)





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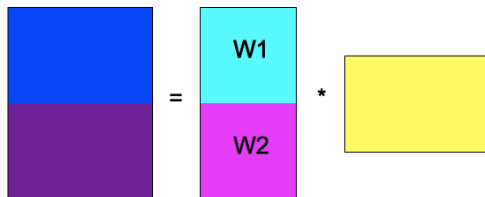
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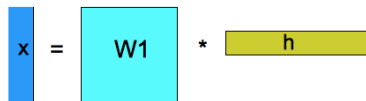
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# Image Annotation

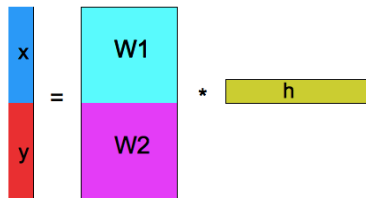
1. Apply NMF to training data







2. Find latent representation  $h$  of a visual vector  $x$ ,  $x = W1 * h$



3. Multiply  $h$  by  $W$  to get the multimodal vector  $[x,y]$



# Annotation Results

Collection	Query	Visual	Multimodal	Ground Truth
Corel 5k		water sky plane tree	plane jet clouds sky	sky plane jet
Corel 5k		water tree people grass	buildings water people sun	water sky buildings
MIRFlickr		male people struc- tures people_r1 male_r1 female sky transport animals car	plant_life flower flower_r1 indoor sky structures people animals female fe- male_r1	female flower flower_r1 people plant_life struc- tures
MIRFlickr		plant_life structures animals tree flower transport water male people dog	plant_life tree sky tree_r1 structures wa- ter river clouds lake sea	clouds plant_life river river_r1 sky tree water

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- Scenario: visual retrieval based on a visual query

- Scenario: visual retrieval based on a visual query
- Images are represented in a latent space using NMF



- Scenario: visual retrieval based on a visual query
- Images are represented in a latent space using NMF
- Some images in the database may not have text content associated

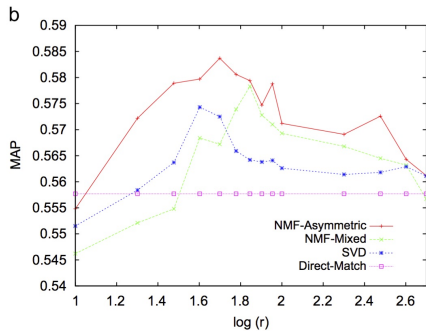
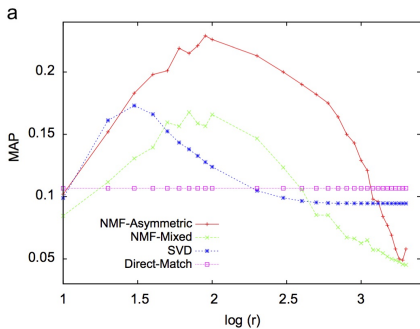
- Scenario: visual retrieval based on a visual query
- Images are represented in a latent space using NMF
- Some images in the database may not have text content associated
- The image query is projected the latent space

- Scenario: visual retrieval based on a visual query
- Images are represented in a latent space using NMF
- Some images in the database may not have text content associated
- The image query is projected the latent space
- Image are retrieved according to their latent space similarity

# Retrieval Performance

<b>Model</b>	<b>Corel 5k</b>		<b>MIRFlickr</b>	
	<b>MAP</b>	<b>Gain (%)</b>	<b>MAP</b>	<b>Gain (%)</b>
Direct matching	0.1071	N/A	0.5577	N/A
SVD mixed	0.1780	66.2	0.5743	2.98
NMF mixed	0.1727	61.2	0.5783	3.67
NMF asymmetric	0.2369	121.2	0.5837	4.67

# Semantic Space Dimension



## 1 The Problem

- Content-based image retrieval
- Semantic image retrieval
- Multimodal image retrieval

## 2 Matrix Factorization

- The Netflix Prize
- Non-negative matrix factorization
- NMF vs SVD

## 3 NMF for Multimodal Learning

- Semantic space
- Multimodal clustering
- Image annotation
- Multimodal retrieval
- Application to histology images



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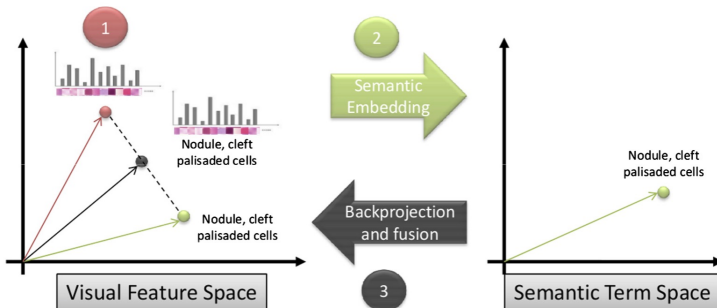
## Histology image search using multimodal fusion

Juan C. Caicedo<sup>a,\*,1</sup>, Jorge A. Vanegas<sup>b</sup>, Fabian Páez<sup>b</sup>, Fabio A. González<sup>b</sup>

<sup>a</sup> *University of Illinois at Urbana-Champaign, Sieble Center for Computer Science, 201 N Goodwin Ave, Urbana, IL 61801, USA*

<sup>b</sup> *MindLab Research Laboratory, Universidad Nacional de Colombia, Bogotá, Colombia*

# Semantic Back Projection



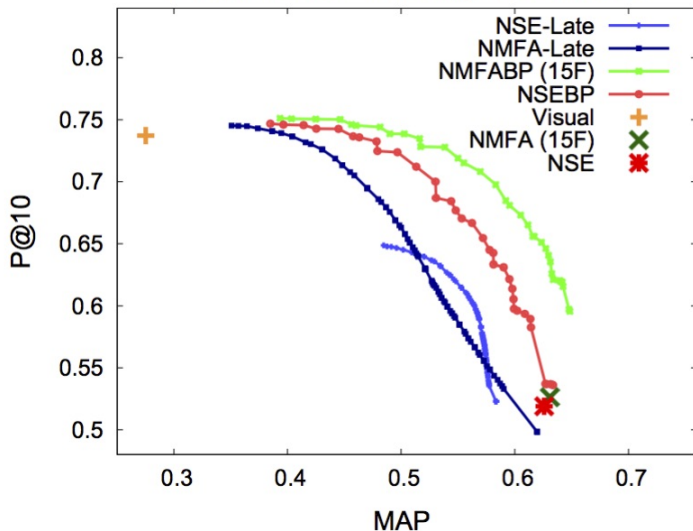


**Table 2**

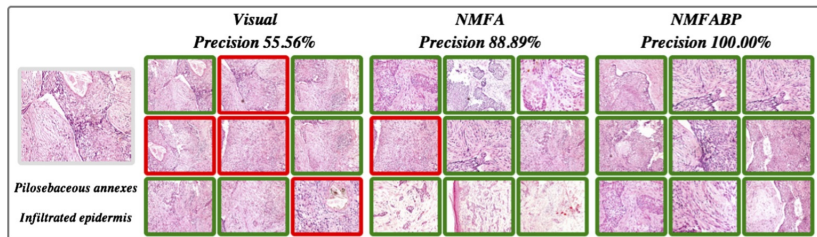
Retrieval performance of semantic strategies compared to visual matching. Numbers in bold indicate the best result obtained in each data set with respect to P@10 or MAP. Results show the trade-off between MAP and P@10 on all image collections. Semantic search produces superior MAP while visual search is a strong baseline for early precision. Chance performance refers to the expected performance of a random ranking strategy, and it is significantly lower than visual and semantic search.

Method	Cervical Cancer		Basal-cell C.		Histology Atlas	
	P@10	MAP	P@10	MAP	P@10	MAP
Visual matching (baseline)	<b>0.5904</b>	0.5214	<b>0.4360</b>	0.2928	<b>0.7372</b>	0.2751
Latent embedding (NMFA)	0.5067	0.6591	0.3176	<b>0.4947</b>	0.5263	<b>0.6309</b>
Direct embedding (NSE)	0.5414	<b>0.6970</b>	0.2543	0.4317	0.5230	0.6113
Chance performance	0.4623	0.4681	0.2183	0.1806	0.0978	0.1008

## Pareto Frontier - Histology Atlas Dataset



# Retrieval Performance Example



Thanks!

fagonzalezo@unal.edu.co

<http://dis.unal.edu.co/~fgonza>